

	COMPLETED ACTIONS WILL BE MOVED TO THE 'REPORTED COMPLETE' TAB ONCE THEY HAVE BEEN NOTIFIED AT AUDIT AND GOVERNANCE							
Action Number	Meeting Date	Agenda Item	Action	Owner	Directorate	Progress Update	Due date	Reported complete
2	19 November 2019	7	Training on the Redmond Review	Grant Thornton	Corporate Support Centre	Grant Thornton to provide dates.	01 September 2020	
16	28 January 2020	10	Direction of travel arrows on the annual governance statement (AGS)	Chief finance officer	Corporate Support Centre	To be included as part of the AGS report in September 2020	19 September 2020	
17	28 January 2020	10	As part of next year's AGS report, a review of previous three years be included	Chief finance officer	Corporate Support Centre	To be reported on as part of the AGS report in September 2020	19 September 2020	
19	28 January 2020	10	Insert into contracts the ability to audit them, specifically contracts on a framework which had specific costs plus margins.	Chief finance officer	Corporate Support Centre	To be reported on as part of the AGS report in September 2020	19 September 2020	
22	18 January 2020	11	Better articulation as to why red risks are on directorate risk register and not on a corporate risk register	Head of corporate performance	Corporate Support Centre	A&GC have received regular updates on the corporate risk register and directorate risk registers and training on the current framework. The current framework is under review, and is presented alongside July's AGC meeting.	30 July 2020	Yes
24	28 January 2020	11	Details on how risks on decision reports feed into the appropriate risk registers	Head of corporate performance	Corporate Support Centre	Currently, risks should be articulated in risk registers as and when they become relevant. The current framework is under review, and is presented alongside July's A&GC meeting	30 July 2020	Yes
26	18 January 2020	11	Consideration be given to including risks which would jeopardise the achievement of corporate objectives and that there were strategic linkages these be identified	Head of corporate performance	Corporate Support Centre	This is being reviewed as part of the revised Performance Framework, and Risk Management approach. This will be considered by the committee at its July meeting.	30 July 2020	Yes
27	18 January 2020	11	Chief executive to ensure that the corporate risk register was at the top / near the top of senior managers agenda	Chief Executive	Corporate Support Centre	This is being reviewed as part of the revised Performance Framework, and Risk Management approach. This will be considered by the committee at its July meeting.	30 July 2020	Yes
29	28 January 2020	11	Clarification on the escalation and de-escalation process	Head of corporate performance	Corporate Support Centre	A&GC have received regular updates on the corporate risk register and directorate risk registers and training on the current framework. The current framework is under review, and is presented alongside July's AGC meeting.	30 July 2020	Yes
30	28 January 2020	12	Corporate and directorate risk registers amended to reflect the mitigating factors in connection with the changes to the contract and finance procedure rules	Head of corporate performance	Corporate Support Centre	An update will be provided as part of the corporate risk report report due to be presented to the committee in October 2020	31 October 2020	
41	05 May 2020	7	Cross referencing work to be undertaken with the internal audit plan and corporate risk register with regard to the risks not covered in the plan for 2020/21.	SWAP	Corporate Support Centre	To form part of the internal audit plan progress report for July 2020	30 July 2020	Yes
42	16-Jun-20	7	Annual internal audit report to be moved to November 2020 committee meeting	Democratic services officer	Corporate Support C	Work programme amended	30-Jul-20	Yes
43	16-Jun-20	9	Corporate risk register report to be presented to committee on a quarterly basis.	Head of corporate performa	Corporate Support C	Added to work programme	30-Jul-20	Yes
44	16 June 2020	9	Chairperson to speak to Director for children and families with a view to director attending meeting due to be held on 30 July to discuss risk	Chairperson	Corporate Support Centre	Director for children and families will be attending the meeting on 30 July 2020	30 July 2020	Yes

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45	16 June 2020	10	Briefing note on ICT Controls - Adults wellbeing applications and children's wellbeing applications	Head of corporate performance	Corporate Support Centre	Briefing note circulated on 22 July 2020	30 July 2020	Yes
46	16 June 2020	12	Whistleblowing report to be added to agenda for October committee meeting	Solicitor to the council	Corporate Support Centre	N/A	31 October 2020	Yes